

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5775671
<b>Application Number:</b>	10627626
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4309
<b>Title of Invention:</b>	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
<b>First Named Inventor/Applicant Name:</b>	Steven M.H. Wallman
<b>Correspondence Address:</b>	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US 2022204200 -
<b>Filer:</b>	Shawn W. Odowd
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	10392/460043
<b>Receipt Date:</b>	27-JUL-2009
<b>Filing Date:</b>	28-JUL-2003
<b>Time Stamp:</b>	16:34:36
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940

RAM confirmation Number	2915
Deposit Account	110600
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE01.pdf	107963	no	1
			c809c2db7c5b3d952418cd8d878e41e12e95cb		

### Warnings:

This is not a USPTO supplied RCE SB30 form.

### Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	32108	no	2
			f9a094a7b0f1dc025c8b63f36a800e05b40e0		

### Warnings:

### Information:

Total Files Size (in bytes):	140071
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.